UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

IN RE SUNPOWER CORPORATION SECURITIES LITIGATION This Document Relates to: *ALL ACTIONS*	Case No. 3:23-cv-05544-RFL Class Action Judge: Hon. Rita F. Lin

PROOF OF CLAIM AND RELEASE

I. GENERAL INSTRUCTIONS

1. It is important that you completely read and understand the Long Notice of (I) Pendency of Class Action and Proposed Settlement; (II) Settlement Fairness Hearing; and (III) Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses ("Long Notice") that accompanies this Proof of Claim and Release ("Claim Form") including the Plan of Allocation of the Net Settlement Fund set forth in the Long Notice. The Long Notice is also available on the Settlement website at: www.SunPowerSecuritiesSettlement.com. The Long Notice describes the proposed Settlement, how Settlement Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved by the Court. The Long Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Long Notice, including the terms of the releases described therein and provided for herein.

2. To be eligible to receive a share of the Net Settlement Fund in connection with the Settlement of the above-captioned action (the "Action"), you must complete and, on page 8 below, sign this Claim Form and mail it by first-class mail to the address below, ***postmarked*** **no later than July 26, 2026,** or submit a Claim Form online at **www.SunPowerSecuritiesSettlement.com**, **on or before July 26, 2026.**

3. Failure to submit your Claim Form by the date specified will subject your Claim to rejection and may preclude you from being eligible to receive any money in connection with the Settlement.

4. Submission of this Claim Form does not assure that you will share in the proceeds of the Settlement of the Action.

5. If you are a Settlement Class Member (as defined in the Long Notice) and you do not timely request exclusion, you are bound by the terms of any judgment entered in the Action, including the Releases provided therein, WHETHER OR NOT YOU SUBMIT A CLAIM FORM.

6. **YOU MUST SUBMIT YOUR COMPLETED CLAIM FORM, ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, SO THAT IT IS POSTMARKED OR RECEIVED BY THE CLAIMS ADMINISTRATOR (IF SUBMITTED ONLINE) NO LATER THAN JULY 26, 2026, ADDRESSED AS FOLLOWS:**

SunPower Securities Settlement
c/o Claims Administrator
1650 Arch Street, Suite 2210
Philadelphia, PA 19103
Toll-free: (888) 771-4249
info@SunPowerSecuritiesSettlement.com

Do not mail or deliver your Claim Form to the Court, the Parties to the Action, or their counsel.

II. CLAIMANT IDENTIFICATION

1. By submitting this Claim Form, you will be making a request to share in the proceeds of the Settlement described in the Long Notice. If you are not a Settlement Class Member (see the definition of the Settlement Class on page 1 of the Long Notice), do not submit a Claim Form. **You may not, directly or indirectly, participate in the Settlement if you are not a Settlement Class Member.** Thus, if you are excluded from the Settlement Class, any Claim Form that you submit, or that may be submitted on your behalf, will not be accepted.

2. Use **Part A** of this Claim Form entitled "Claimant Information" to identify the beneficial owner(s) of SunPower Corporation ("SunPower") common stock or other securities. The complete name(s) of the beneficial owner(s) must be entered. If you held the eligible SunPower securities in your own name, you are the beneficial owner as well as the record owner. If, however, your SunPower securities were registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial owner of these securities, but the third party is the record owner. **THIS CLAIM FORM MUST BE SIGNED AND FILED BY THE ACTUAL BENEFICIAL OWNER(S) OR THE LEGAL REPRESENTATIVE OF SUCH OWNER(S)**.

3. All joint owners must sign this Claim Form. Executors, administrators, guardians, conservators, and trustees must complete and sign this Claim Form on behalf of persons represented by them and their authority must accompany this Claim Form and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the Claim. Failure to provide the foregoing information could delay verification of your Claim or result in rejection of the Claim.

4. **One Claim Form should be submitted for each separate legal entity.** A separate Claim Form should be submitted for each separate legal entity (e.g., a Claim Form for joint owners should not include separate transactions of just one of the joint owners, and an individual should not combine his or her IRA transactions with transactions made solely in the individual's name).

III. IDENTIFICATION OF TRANSACTIONS

1. Use the Schedules of Transactions in **Parts B to D** of this Claim Form to supply all required details of your transaction(s) in and holdings of the applicable SunPower securities. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

2. On the Schedule of Transactions, please provide all of the requested information with respect to holdings, purchases, acquisitions, and sales, of the applicable SunPower securities, whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your Claim**.

3. Please note: Only SunPower common stock purchased or otherwise acquired, SunPower Call Options purchased or otherwise acquired, and SunPower Put Options written during the Settlement Class Period (i.e., between May 3, 2023 to July 19, 2024, inclusive) are eligible under the Settlement.

4. You are required to submit valid and sufficient documentation for all your transactions in and holdings of SunPower securities included in the Claim Form. Documentation may consist of copies of broker confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. **The Parties and the Claims Administrator do not independently have information about your investments.** If these documents are not in your possession, please contact your broker. Failure to supply documentation could delay verification of your Claim or result in the rejection of your Claim.

5. **Do not send original documents.** Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.

6. **SunPower Call Options and SunPower Put Options are identified by strike price, expiration date and Call/Put Type.**

7. The requests in this Claim Form are designed to gather the minimum amount of information necessary to process the simplest Claims. The Claims Administrator may request additional information as required to efficiently and reliably process your Claim.

8. If the Court approves the Settlement, payments to eligible Authorized Claimants pursuant to the Plan of Allocation (or such other plan of allocation as the Court approves) will be made after the completion of all claims processing. **This could take substantial time. Please be patient.**

9. **PLEASE NOTE:** As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her or its pro rata share of the Net Settlement Fund. If the pro-rated payment to any Authorized Claimant calculates to less than $10.00 it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

10. If you have questions concerning the Claim Form, or need additional copies of the Claim Form or the Long Notice, you may contact the Claims Administrator by email at info@SunPowerSecuritiesSettlement.com or by toll-free phone at (888) 771-4249. Copies of the Claim Form and Long Notice are available to download at www.SunPowerSecuritiesSettlement.com.

11. NOTICE REGARDING ONLINE FILING: Claims may be submitted online using the Submit Claim page at www.SunPowerSecuritiesSettlement.com. You will receive an automated response confirming receipt once your Claim Form has been submitted. If your Claim contains a large number of transactions, you may request, or may be requested, to submit an electronic spreadsheet showing your transactions to accompany your Claim Form.

11. NOTICE REGARDING INSTITUTIONAL FILERS: Representatives with authority to file on behalf of (a) accounts of multiple Settlement Class Members and/or (b) institutional accounts with large numbers of transactions ("Representative Filers") must submit information regarding their transactions in an electronic spreadsheet format. If you are a Representative Filer, you must contact the Claims Administrator at info@SunPowerSecuritiesSettlement.com or visit the Settlement website at www.SunPowerSecuritiesSettlement.com to obtain the required file layout or visit the Settlement website to download the file. Claims which are not submitted in electronic spreadsheet format and in accordance with the Claims Administrator's instructions may be subject to rejection. All Representative Filers MUST also submit a manually signed Claim Form, as well as proof of authority to file (see Item 3 of the Claimant Identification), along with the electronic spreadsheet format. **No claims submitted in electronic spreadsheet format will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.**

Your Claim Form must be submitted on or before July 26, 2026	**PROOF OF CLAIM AND RELEASE** ***SunPower Securities Settlement*** **1650 Arch Street, Suite 2210** **Philadelphia, PA 19103** **info@SunPowerSecuritiesSettlement.com** **www.SunPowerSecuritiesSettlement.com**	**SunPower Corporation**

PART A – CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner Name		
Co-Beneficial Owner Name		
Entity Name (if Claimant is not an individual)		
Representative or Custodian Name (if different from Beneficial Owner(s) listed above)		
Address 1 (Street Name and Number)		
Address 2 (Apartment, unit, or box number)		
City	State	ZIP
Foreign Province	Foreign Country	Postal Code
Telephone Number (cell or home)	Telephone Number (work)	
Email Address		
Account Number (if filing for multiple accounts, file a separate Claim Form for each unique account type)		
Last four digits of Social Security Number (individuals)	OR	Taxpayer Identification Number (estates, trusts, etc.)
Claimant Account Type (check appropriate box): ☐ Individual / Joint ☐ Pension Plan ☐ Trust ☐ Corporation ☐ Estate ☐ IRA/401K ☐ Other _____ (please specify)		

PART B: SCHEDULE OF TRANSACTIONS IN SUNPOWER COMMON STOCK - CUSIP: 867652406

Complete this Part B if and only if you purchased/acquired SunPower Common Stock during the period from May 3, 2023 through and including July 19, 2024. Please include proper documentation with your Claim Form as described in detail in Section III – Identification of Transactions, above. Do not include information in this section regarding securities other than SunPower common stock. Ticker: SPWR & SPWRQ (Ticker Symbol Change from SPWR to SPWRQ occurred August 16, 2024); **CUSIP: 867652406**.

1. HOLDINGS AS OF THE CLOSE OF TRADING ON – State the total number of shares of SunPower common stock held as of the close of trading on May 2, 2023. If none, write "zero" or "0." (Must be documented.)	**TOTAL NUMBER OF SHARES**

2. PURCHASES/ACQUISITIONS FROM MAY 3, 2023 THROUGH JULY 19, 2024, INCLUSIVE – Separately list each and every purchase or acquisition of SunPower Corporation common stock from May 3, 2023 through July 19, 2024, inclusive. (Must be documented.)

Trade Date of Purchase/Acquisition (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Purchase/Acquisition Price (excluding any taxes, commissions, and fees)
/ /		$	$
/ /		$	$
/ /		$	$
/ /		$	$

3. SALES FROM MAY 3, 2023 THROUGH JULY 19, 2024, INCLUSIVE – Separately list each and every sale or disposition of SunPower common stock from May 3, 2023 through July 19, 2024, inclusive. (Must be documented.)

Trade Date of Sale/Disposition (List Chronologically) (Month/Day/Year)	Number of Shares Sold/Disposed	Sale Price Per Share	Total Sale Price (excluding any taxes, commissions, and fees)
/ /		$	$
/ /		$	$
/ /		$	$
/ /		$	$

4. HELD AS OF THE CLOSE OF TRADING ON JULY 19, 2024 – State the total number of shares of SunPower common stock held as of the close of trading on July 19, 2024. If none, write "zero" or "0." (Must be documented.)	**TOTAL NUMBER OF SHARES**

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS/HOLDINGS YOU MUST PHOTOCOPY THIS PAGE AND CHECK THE BOX TO THE RIGHT. IF YOU DO NOT CHECK THE BOX TO THE RIGHT, THESE ADDITIONAL PAGES WILL NOT BE REVIEWED. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE.	☐

PART C: SCHEDULE OF TRANSACTIONS IN SUNPOWER CALL OPTIONS - CUSIP: 867652406

Complete this Part C if and only if you purchased or otherwise acquired SunPower Call Options during the period from May 3, 2023 through and including July 19, 2024. Please include proper documentation with your Claim Form as described in detail in Section III – Identification of Transactions, above. Do not include information in this section regarding securities other than SunPower Call Options. Ticker: SPWR & SPWRQ (Ticker Symbol Change from SPWR to SPWRQ occurred August 16, 2024); **CUSIP: 867652406**.

1. HOLDINGS AS OF THE CLOSE OF TRADING ON MAY 2, 2023 – Separately list all positions in SunPower Call Option contracts in which you had an open interest as of the close of trading on May 2, 2023. (Must be documented.)

IF NONE CHECK HERE ☐

Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/Year)	Number of Call Option Contracts in Which You Had an Open Interest (Including any short holdings)
$	/ /	
$	/ /	

2. PURCHASES/ACQUISITIONS FROM MAY 3, 2023 THROUGH JULY 19, 2024, INCLUSIVE – Separately list each and every purchase/acquisition (including free receipts) of SunPower Call Option contracts from May 3, 2023 through July 19, 2024, inclusive. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/ Year)	Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/ Year)	Number of Call Option Contracts Purchased/ Acquired	Purchase/ Acquisition Price Per Call Option Contract	Total Purchase/ Acquisition Price (excluding taxes, commissions, and fees)	Insert an "E" if Exercised "A" if Assigned "X" if Expired	Exercised Date (Month/Day/ Year)
/ /	$	/ /		$	$		/ /
/ /	$	/ /		$	$		/ /
/ /	$	/ /		$	$		/ /

3. SALES FROM MAY 3, 2023 THROUGH JULY 19, 2024, INCLUSIVE – Separately list each and every sale/disposition (including free deliveries) of SunPower Call Options from May 3, 2023 through July 19, 2024, inclusive. (Must be documented.)

Date of Sale (List Chronologically) (Month/Day/Year)	Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/ Year)	Number of Call Option Contracts Sold	Sale Price Per Call Option Contract	Total Sale Price (excluding taxes, commissions, and fees)	Insert an "E" if Exercised "A" if Assigned "X" if Expired	Assignment Date (Month/Day/ Year)
/ /	$	/ /		$	$		/ /
/ /	$	/ /		$	$		/ /
/ /	$	/ /		$	$		/ /

4. ENDING HOLDINGS AS OF JULY 19, 2024 – Separately list all positions in SunPower Call Option contracts in which you had an open interest as of the close of trading on July 19, 2024

IF NONE CHECK HERE ☐

Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/Year)	Number of Call Option Contracts in Which You Had an Open Interest (Including any short holdings)
$	/ /	
$	/ /	

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS/HOLDINGS YOU MUST PHOTOCOPY THIS PAGE AND CHECK THE BOX TO THE RIGHT. IF YOU DO NOT CHECK THE BOX TO THE RIGHT, THESE ADDITIONAL PAGES WILL NOT BE REVIEWED. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. ☐

PART D: SCHEDULE OF TRANSACTIONS IN SUNPOWER PUT OPTIONS - CUSIP: 867652406

Complete this Part D if and only if you sold (wrote) SunPower Put Options during the period from May 3, 2023 through and including July 19, 2024. Please include proper documentation with your Claim Form as described in detail in Section III – Identification of Transactions, above. Do not include information in this section regarding securities other than SunPower Put Options. Ticker: SPWR & SPWRQ (Ticker Symbol Change from SPWR to SPWRQ occurred August 16, 2024); **CUSIP: 867652406**.

1. HOLDINGS AS OF THE CLOSE OF TRADING ON MAY 2, 2023 – Separately list all positions in SunPower Call Option contracts in which you had an open interest as of the close of trading on May 2, 2023. (Must be documented.)

IF NONE CHECK HERE ☐

Strike Price of Put Option Contract	Expiration Date of Put Option Contract (Month/Day/Year)	Number of Put Option Contracts in Which You Had an Open Interest (Including any short holdings)
$	/ /	
$	/ /	

2. SALES (WRITING) FROM MAY 3, 2023 THROUGH JULY 19, 2024, INCLUSIVE – Separately list each and every sale (writing) (including free receipts) of SunPower Put Option contracts from May 3, 2023 through July 19, 2024, inclusive. (Must be documented.)

Date of Sale (Writing) (List Chronologically) (Month/Day/Year	Strike Price of Put Option Contract	Expiration Date of Put Option Contract (Month/Day/ Year	Number of Put Option Contracts Purchased/ Acquired	Sale Price Per Put Option Contract	Total Sale Price (excluding taxes, commissions, and fees)	Insert an "A" if Assigned "E" if Exercised "X" if Expired	Assignment Date (Month/Day/ Year)
/ /	$	/ /		$	$		/ /
/ /	$	/ /		$	$		/ /
/ /	$	/ /		$	$		/ /

3. PURCHASE/ACQUISITIONS FROM MAY 3, 2023 THROUGH JULY 19, 2024, INCLUSIVE – Separately list each and every purchase/acquisition (including free receipts) of SunPower Put Options from May 3, 2023 through July 19, 2024, inclusive. (Must be documented.)

Date of Purchase/Acquisition (List Chronologically) (Month/Day/Year	Strike Price of Put Option Contract	Expiration Date of Put Option Contract (Month/Day/ Year	Number of Put Option Contracts Purchase/ Acquired	Purchase/ Acquisition Price Per Put Option Contract	Total Purchase/ Acquisition Price (excluding taxes, commissions, and fees)	Insert an "A" if Assigned "E" if Exercised "X" if Expired	Exercise Date (Month/Day/ Year)
/ /	$	/ /		$	$		/ /
/ /	$	/ /		$	$		/ /
/ /	$	/ /		$	$		/ /

4. ENDING HOLDINGS AS OF JULY 19, 2024 – Separately list all positions in SunPower Put Option contracts in which you had an open interest as of the close of trading on July 19, 2024

IF NONE CHECK HERE ☐

Strike Price of Put Option Contract	Expiration Date of Put Option Contract (Month/Day/Year)	Number of Put Option Contracts in Which You Had an Open Interest (Including any short holdings)
$	/ /	
$	/ /	

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS/HOLDINGS YOU MUST PHOTOCOPY THIS PAGE AND CHECK THE BOX TO THE RIGHT. IF YOU DO NOT CHECK THE BOX TO THE RIGHT, THESE ADDITIONAL PAGES WILL NOT BE REVIEWED. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. ☐

SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

By signing and submitting this Claim Form, the Claimant(s) or the person(s) acting on behalf of the Claimant(s) certify(ies) that: I (We) submit this Claim Form under the terms of the Stipulation described in the Long Notice. I (We) also submit to the jurisdiction of the United States District Court for the Northern District of California (the "Court") with respect to my (our) Claim as a Settlement Class Member(s) and for purposes of enforcing the releases set forth herein. I (We) further acknowledge that I (we) will be bound by the terms of any judgment entered in connection with the Settlement in the Action, including the Releases set forth therein. I (We) agree to provide additional information to the Claims Administrator to support this Claim, such as additional documentation for transactions in eligible SunPower common stock and/or other SunPower securities, if required to do so. I (We) have not submitted any other Claim covering the same transactions in SunPower common stock and other SunPower securities during the Class Period and know of no other person having done so on my (our) behalf.

RELEASES, WARRANTIES, AND CERTIFICATION

1. I (We) hereby warrant and represent that I am (we are) a Settlement Class Member as defined in the Long Notice, that I am (we are) not excluded from the Settlement Class, that I am (we are) not one of the "Released Defendants' Parties" as defined in the Long Notice.

2. As a Settlement Class Member, I (we) hereby acknowledge, full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge with prejudice the Releasing Plaintiffs' Parties' Claims as to each and all of the Released Defendants' Parties (as these terms are defined in the Long Notice). This Claim Form shall be of no force or effect unless and until the Court approves the Settlement and it becomes effective on the Effective Date.

3. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this Claim Form or any other part or portion thereof.

4. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases, acquisitions and sales of SunPower common stock and other SunPower securities that occurred during the Class Period and the number of shares or other securities held by me (us), to the extent requested.

5. I (We) certify that I am (we are) NOT subject to backup tax withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

☐ Check this box if you are subject to backup withholding.

I (We) declare under penalty of perjury under the laws of the United States of America that all of the foregoing information supplied on this Claim Form by the undersigned is true and correct.

Executed this ___ day of _____, in _____, _____
(Month / Year) (City) (State/Country)

_____ _____
Signature of Claimant Signature of Joint Claimant, if any

_____ _____
Print Name of Claimant Print Name of Joint Claimant, if any

_____
(Capacity of person(s) signing, *e.g.*, Beneficial Purchaser, Executor or Administrator)

ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.
THANK YOU FOR YOUR PATIENCE.

REMINDER CHECKLIST:

1. Please sign this Claim Form.
2. DO NOT HIGHLIGHT THE CLAIM FORM OR YOUR SUPPORTING DOCUMENTATION.
3. Attach only copies of supporting documentation as these documents will not be returned to you.
4. Keep a copy of your Claim Form for your records.
5. If you have mailed your Claim Form and desire an acknowledgement of receipt of your Claim Form, please send it Certified Mail, Return Receipt Requested.
6. If you move after submitting this Claim Form please notify the Claims Administrator of the change in your address, otherwise you may not receive additional notices or payment.