

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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| IN RE LIBOR-BASED FINANCIAL INSTRUMENTS ANTITRUST LITIGATION |
| THIS DOCUMENT RELATES TO: |
| The OTC Action |

No. 1:11-md-2262-NRB

**DECLARATION OF KAY RUVOLO OF ANGEION GROUP LLC
RE: REQUESTS FOR EXCLUSION AND OBJECTIONS**

I, KAY RUVOLO, of full age, hereby declare under penalty of perjury as follows:

1. I am a Senior Project Manager at the class action notice and settlement administration firm, Angeion Group, LLC (“Angeion”). I am fully familiar with the facts contained herein based upon my personal knowledge.

2. Angeion was retained as the Claims Administrator for the Settlement and appointed by the Court pursuant to ¶ 1 of the *Order Approving OTC Plaintiffs’ Notice Plan for Settlement with Defendants Coöperatieve Rabobank U.A., Lloyds Banking Group PLC, Lloyds Bank PLC, HBOS PLC, Bank of Scotland PLC, Royal Bank of Canada, Portigon AG, and Westdeutsche Immobilien Servicing AG and Appointing a Claims Administrator and Escrow Agent* (the “Order”), entered on August 1, 2023 (Dkt. No. 3711). The purpose of this declaration is to provide the Court and the parties with updated information regarding the number of exclusion requests and objections received.

3. The deadline for Settlement Class Members to request exclusion from the Settlement was November 17, 2023. Prior to the deadline, Angeion received nine (9) exclusion requests from members of the Settlement Class. Attached hereto as **Exhibit A** is a complete list

of the Settlement Class Members who have submitted timely requests to be excluded from the Settlement.

4. The deadline for Settlement Class Members to object to the Settlement was November 17, 2023.

5. As of the date of this Declaration, Angeion has not received, nor been made aware of any objections to the Settlement.

I hereby declare under penalty of perjury that the foregoing is true and correct.

Dated: November 21, 2023

Kay Ruvo

KAY RUVOLO

EXHIBIT A

Coöperatieve Rabobank U.A., Lloyds Banking Group PLC, Lloyds Bank PLC, HBOS PLC, Bank of Scotland PLC, Royal Bank of Canada, Portigon AG, and Westdeutsche Immobilien Servicing AG Settlement

Requests for Exclusion

| Exclusion # | Name of Class Member |
|--------------------|---|
| 1 | Federal National Mortgage Association (“Fannie Mae”) |
| 2 | National Credit Union Administration Board as Liquidating Agent of US Central Federal Credit Union, Western Corporate Federal Credit Union, Members United Corporate Federal Credit Union, Southwest Corporate Federal Credit Union, and Constitution Cooperate Federal Credit Union (“NCUA Parties”) |
| 3 | Principal Financial Group, Inc., and Principal Life Insurance Company |
| 4 | The City of Philadelphia (“The City”) and The Pennsylvania Intergovernmental Cooperation Authority (“PICA”) |
| 5 | Federal Deposit Insurance Corporation as Receiver for: <ul style="list-style-type: none"> a. Amcore Bank, N.A. b. AmTrust Bank c. California National Bank d. Colonial Bank e. Corus Bank, N.A. f. Guaranty Bank g. Imperial Capital Bank h. Indy Mac Bank, F.S.B. i. Integra Bank, N.A. j. Lydian Private Bank k. Pacific National Bank l. Park National Bank m. R-G Premier Bank of Puerto Rico n. San Diego National Bank o. Silverton Bank, N.A. p. Superior Bank q. United Commercial Bank r. United Western Bank s. Washington Mutual Bank t. Westernbank Puerto Rico |
| 6 | The Federal Home Loan Mortgage Corporation (“Freddie Mac”) |
| 7 | The City of Houston ¹ |

¹ The City of Houston does not request exclusion as to the settlement between the proposed OTC Class and Defendants Rabobank, Lloyds and Portigon.

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| 8 | The City of Richmond, the Richmond Joint Powers Financing Authority; and the Successor Agency to the Richmond Community Redevelopment Agency (collectively, "Richmond") ² |
| 9 | <p>Schwab Entities:</p> <ul style="list-style-type: none"> a. The Charles Schwab Corporation b. Charles Schwab Bank, N.A. c. Charles Schwab & Co., Inc. d. Schwab Money Market Fund, a series of The Charles Schwab Family of Funds e. Schwab Value Advantage Money Fund, a series of The Charles Schwab Family of Funds f. Schwab Retirement Advantage Money Fund, a series of The Charles Schwab Family of Funds g. Schwab Investor Money Fund, a series of The Charles Schwab Family of Funds h. Schwab Cash Reserves, a series of The Charles Schwab Family of Funds i. Schwab Advisor Cash Reserves, a series of The Charles Schwab Family of Funds j. Schwab U.S. Dollar Liquid Assets Fund, a series of Charles Schwab Worldwide Funds plc k. Schwab Short-Term Bond Market Fund, a former series of Schwab Investments l. Schwab Total Bond Market Fund, a former series of Schwab Investments m. Schwab YieldPlus Fund, a former series of Schwab Investments n. Schwab YieldPlus Fund Liquidation Trust |

² Richmond does not request exclusion as to the settlement between the proposed OTC Class and Defendants Rabobank, Lloyds and Portigon.