

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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In re SSA BONDS ANTITRUST	:	Civil Action No. 1:16-cv-03711-ER
LITIGATION	:	
_____	:	<u>CLASS ACTION</u>
	:	
This Document Relates To:	:	SUPPLEMENTAL DECLARATION OF
	:	MARKHAM SHERWOOD REGARDING
ALL ACTIONS.	:	DISSEMINATION OF NOTICE FOR
_____	X	THREE SETTLEMENTS AND REPORT ON
		OPT-OUTS AND OBJECTIONS RECEIVED

I, Markham Sherwood, hereby declare under penalty of perjury as follows:

1. As set forth in my prior declaration (ECF No. 672), I am a Senior Settlement Consultant at the class action notice and settlement administration firm, Angeion Group, LLC (“Angeion”), the Claims Administrator. The purpose of this supplemental declaration is to provide the Court with an update regarding the dissemination of notice to members of the Settlement Classes and correspondence, including any requests for exclusion or objections, from members of the Settlement Classes. I am fully familiar with the facts contained herein based upon my personal knowledge.

**Mailed Notice**

2. As a result of the efforts described in the Paragraphs 5 through 9 of my original declaration, Angeion mailed a total of 26,244 Notice Packets to potential members of the Settlement Classes. As of February 12, 2021, Angeion has received a total of 1,984 Notice Packets as undeliverable. In an attempt to locate updated addresses for these potential class members, Angeion performed a third-party skip trace search. Through this skip tracing search, Angeion updated and re-sent Notice Packets to 222 addresses. I understand from Co-Lead Counsel that, of the 667 Notice Packets mailed by Rust Consulting, Inc. (*see* ECF No. 671), 22 were returned as undeliverable, 21 of which were recently re-mailed using updated address information.

**Publication Notice**

3. As discussed in my original declaration, Angeion caused the Summary Notice to be published in the following media publications: *The Wall Street Journal* (National Edition, November 6, 2020); *The Financial Times* (Global Edition, November 6, 2020); *The New York Times* (National Edition, November 6, 2020); *The Economist* (Global Edition, November 7, 2020); *Bloomberg Business Week* (Global Edition, November 9, 2020); *Bond Buyer* (National Edition, November 5, 2020); and *EuroMoney* (Global Edition, November 13, 2020).

**Digital Media Campaign**

4. As discussed in my original declaration, Angeion also conducted a digital media campaign by placing banner ads on the following sites between October 29, 2020 and November 9, 2020, inclusive: *The Wall Street Journal* (Europe and Asia), which delivered 358,608 impressions on the display network; and between November 1, 2020 and November 9, 2020, inclusive: *Bloomberg Businessweek* (Global); which delivered 390,714 impressions.

5. In addition to those two sites, Angeion ran a nationwide programmatic digital advertisement campaign across multiple sites. That programmatic campaign delivered an additional 4,190,851 impressions.

**Settlement Website**

6. As discussed in my original declaration, Angeion established and is currently maintaining a website devoted to the Settlements (the “Settlement Website”). The Settlement Website also contains a Contact Us link whereby members of the Settlement Classes can send an email to a dedicated email address established for the Settlements. As of February 12, 2021, the Settlement Website has registered 11,687 hits, and Angeion has received 179 e-mails from

potential members of the Settlement Classes. All such inquiries were, to my knowledge, satisfactorily resolved.

**Toll-Free Hotline**

7. As discussed in my original declaration, Angeion established a toll-free hotline devoted to the Settlements. As of February 12, 2021, the toll-free hotline has registered 388 phone calls from potential members of the Settlement Classes. All such inquiries were, to my knowledge, satisfactorily resolved.

**Report on Requests for Exclusion and Objections Received to Date**

8. The deadline for members of the Settlement Classes to request exclusion from the Settlement Classes was February 1, 2021. As of February 15, 2021, Angeion has not received any requests for exclusion from the Settlement Classes. Additionally, although the Notice directs the submission of objections to counsel and the Court, Angeion can also confirm that it has not received any objections to the Settlements. Angeion will also inform the Parties of any requests for exclusion or objections to the Settlements received after the February 1, 2021, deadline.

I hereby declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge.

Dated: February 15, 2021

  
\_\_\_\_\_  
MARKHAM SHERWOOD

CERTIFICATE OF SERVICE

I hereby certify under penalty of perjury that on February 15, 2021, I authorized the electronic filing of the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the e-mail addresses on the attached Electronic Mail Notice List, and I hereby certify that I caused the mailing of the foregoing via the United States Postal Service to the non-CM/ECF participants indicated on the attached Manual Notice List.

s/ DAVID W. MITCHELL

DAVID W. MITCHELL

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**Mailing Information for a Case 1:16-cv-03711-ER In re SSA Bonds Antitrust Litigation****Electronic Mail Notice List**

The following are those who are currently on the list to receive e-mail notices for this case.

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