

## JCI COPPER COIL CLASS ACTION SETTLEMENT CLAIM FORM

Please read the Notice of Class Action Settlement (“Notice”) available at [www.jccoppercoilsettlement.com](http://www.jccoppercoilsettlement.com) if you have further questions.

### I. INSTRUCTIONS

Read all instructions below before filling out this Claim Form.

1. You are eligible to submit this Claim Form if you are a Settlement Class Member, which means:

**all individuals and entities in the United States who during the time period from January 1, 2008 to March 15, 2017 purchased an uncoated York, Fraser-Johnston, Luxaire, Coleman, Evcon, Guardian, Champion, or Dayton brand copper evaporator coil or copper condenser coil manufactured and sold by JCI or any of its Affiliates, separately or as part of a split system or packaged residential air handler, condensing unit, or HVAC unit, that is covered by the original limited five year warranty or extended ten year warranty.**

A copper evaporator coil and/or condenser coil that meets these requirements is referred to below as a “Copper Coil.”

2. Please type or print legibly all information in blue or black ink, and answer all applicable questions below.
3. You must fill out and sign a separate Claim Form for each Copper Coil for which you are claiming benefits under this Settlement.
4. Please sign and date the last page of this Claim Form. Your claim will not be processed otherwise.
5. You should print or make a copy of your completed Claim Form for your records.
6. You can submit this Claim Form electronically at [www.jccoppercoilsettlement.com](http://www.jccoppercoilsettlement.com). You can also fill out this Claim Form by hand, and mail, fax, or email it to:

*Dickerson v. York International Corporation*  
Settlement Administrator  
1650 Arch Street, Suite 2210  
Philadelphia, PA 19103  
Fax: 1-484-350-4442  
Email: [Questions@jccoppercoilsettlement.com](mailto:Questions@jccoppercoilsettlement.com)

7. The deadline for submitting Claim Forms is the later of: (a) 120 days after the date of the Court’s Final Judgment and Order of Dismissal (which when known will be set forth at [www.jccoppercoilsettlement.com](http://www.jccoppercoilsettlement.com)); or (b) 120 days after the Settlement Class Member experiences a Copper Coil failure while the Copper Coil is covered by the original limited five year warranty or extended ten year warranty. Failure to submit your Claim Form by the deadline may result in the denial of your claim.
8. Claim Forms will be processed in the order they are received. If your claim is valid, you will receive a letter explaining the settlement benefits to which you are entitled. You may receive a rejection letter if your claim is invalid, and you may receive a deficiency letter if your claim is deficient in ways that you can correct by providing additional information. All required documentation described below must be submitted with your Claim Form or you will receive a deficiency letter, which if not timely corrected will result in your claim being rejected.
9. Certain benefits under this Settlement must be redeemed through an authorized JCI dealer or distributor. The list of all authorized JCI dealers and distributors can be found at the dealer locator section of JCI’s websites and also at [www.jccoppercoilsettlement.com](http://www.jccoppercoilsettlement.com).
10. If you have questions about the Settlement, please visit the settlement website [www.jccoppercoilsettlement.com](http://www.jccoppercoilsettlement.com), call toll-free 1-855-510-2035, or email [Questions@jccoppercoilsettlement.com](mailto:Questions@jccoppercoilsettlement.com).

## **II. EXPLANATION OF SETTLEMENT BENEFITS**

All Settlement Class Members are eligible for benefits under the Settlement as follows.

### **A. Copper Coil Failures Occurring Before March 15, 2017**

(1) Authorized Claimants who prior to March 15, 2017 experienced **one** failure of their Copper Coil while the Copper Coil was covered by the original limited five year warranty or extended ten year warranty and incurred any out-of-pocket expenses as a result of that failure, will receive a \$75 Service Rebate Certificate valid for one year from the date it is issued, to be used as payment for maintenance on their HVAC system performed by an authorized JCI dealer after the date the Service Rebate Certificate is issued. Authorized Claimants must provide the Settlement Administrator with evidence of the Copper Coil failure and may do so by submitting, for example, an invoice(s), receipt(s), photograph(s), correspondence to or from JCI or an HVAC dealer or contractor, warranty claim(s), or any other competent evidence of the failure. To redeem the Service Rebate Certificate, the Authorized Claimant must provide the Settlement Administrator with proof of service by an authorized JCI dealer within 120 days of such service being performed.

(2) Authorized Claimants who prior to March 15, 2017 experienced **two or more** failures of their Copper Coil while the Copper Coil was covered by the original limited five year warranty or extended ten year warranty, and paid for labor, refrigerant, or parts associated with the replacement of their Copper Coils, will receive a check as reimbursement for their out-of-pocket expenses of up to \$550.00 for each replacement (but no more than \$1,100.00 for all replacements). Authorized Claimants must provide the Settlement Administrator with evidence of the Copper Coil failures and may do so by submitting, for example, an invoice(s), receipt(s), photograph(s), correspondence to or from JCI or an HVAC dealer or contractor, warranty claim(s), or any other competent evidence of the failures. Authorized Claimants must also provide the Settlement Administrator with evidence of the amounts they paid out-of-pocket for labor, refrigerant, or parts to replace those Copper Coils, and may do so by submitting an invoice(s), receipt(s), cancelled check(s), or other competent evidence.

### **B. Copper Coil Failures Occurring After March 15, 2017**

(3) Authorized Claimants who after March 15, 2017 experience a **first** failure of their Copper Coil while the Copper Coil is covered by the original limited five year warranty or extended ten year warranty, will receive the following benefits:

(a) If the failed Copper Coil is an evaporator coil (including the indoor coil on any heat-pump system), a new aluminum (where feasible according to the requirements/specifications for the model/unit at issue) or tin-coated copper replacement coil at no cost, plus a \$75 Service Rebate Certificate valid for one year from the date it is issued, to help defray the cost of the installation of the aluminum or tin-coated copper replacement coil or to be used as payment for maintenance on their HVAC system performed by an authorized JCI Dealer after the date the Service Rebate Certificate is issued. The new aluminum or tin-coated copper replacement coil will be provided through any authorized JCI Dealer. Authorized Claimants must provide the Settlement Administrator with evidence of the Copper Coil failure and may do so by submitting, for example, an invoice(s), receipt(s), photograph(s), correspondence to or from JCI or an HVAC dealer or contractor, warranty claim(s), or any other competent evidence of the failure. To redeem the Service Rebate Certificate, the Authorized Claimant must provide the Settlement Administrator with proof of service by an authorized JCI dealer within 120 days of such service being performed.

(b) If the failed Copper Coil is a condenser coil (including the outdoor coil on any heat-pump system), a new copper replacement coil at no cost accompanied by an Extended Copper Coil Warranty, and a \$75 Service Rebate Certificate valid for one year from the date it is issued, to help defray the cost of the installation of the copper replacement coil or to be used as payment for maintenance on their HVAC system performed by an authorized JCI Dealer after the date the Service Rebate Certificate is issued. The new copper replacement coil will be provided through any authorized JCI Dealer. Authorized Claimants must provide the Settlement Administrator with evidence of the Copper Coil failure and may do so by submitting, for example, an invoice(s), receipt(s), photograph(s), correspondence to or from JCI or an HVAC dealer or contractor, warranty claim(s), or any other competent evidence of the failure. To redeem the Service Rebate Certificate, the Authorized Claimant must provide the Settlement Administrator with proof of service by an authorized JCI dealer within 120 days of such service being performed.

(4) Authorized Claimants who experience **two or more** failures of their Copper Coil (if at least one of the failures occurs after March 15, 2017) while the Copper Coil is covered by the original limited five year warranty or extended ten year warranty, and paid for labor, refrigerant, or parts associated with the replacement of their Copper Coils, will receive the following benefits:

(a) If the failed Copper Coil is an evaporator coil (including the indoor coil on any heat-pump system), a new aluminum (where feasible according to the requirements/specifications for the model/unit at issue) or tin-coated copper replacement coil at no cost, plus a check as reimbursement for their out-of-pocket expenses of up to \$550.00 for each replacement (but no more than \$1,100.00 for all replacements). The new aluminum or tin-coated copper replacement coil will be provided through any authorized JCI Dealer. Authorized Claimants must provide the Settlement Administrator with evidence of each Copper Coil failure and may do so by submitting, for example, an invoice(s), receipt(s), photograph(s), correspondence to or from JCI or an HVAC dealer or contractor, warranty claim(s) or any other competent evidence of the failure. Authorized Claimants must also provide the Settlement Administrator with evidence of the amounts they paid out-of-pocket for labor, refrigerant, or parts to replace those Copper Coils, and may do so by submitting an invoice(s), receipt(s), cancelled check(s), or other competent evidence.

(b) If the failed Copper Coil is a condenser coil (including the outdoor coil on any heat-pump system), a new copper replacement coil at no cost accompanied by an Extended Copper Coil Warranty, plus a check as reimbursement for their out-of-pocket expenses of up to \$550.00 for each replacement (but no more than \$1,100.00 for all replacements). The new copper replacement coil will be provided through any authorized JCI Dealer. Authorized Claimants must provide the Settlement Administrator with evidence of each Copper Coil failure and may do so by submitting, for example, an invoice(s), receipt(s), photograph(s), correspondence to or from JCI or an HVAC dealer or contractor, warranty claim(s) or any other competent evidence of the failure. Authorized Claimants must also provide the Settlement Administrator with evidence of the amounts they paid out-of-pocket for labor, refrigerant, or parts to replace those Copper Coils, and may do so by submitting an invoice(s), receipt(s), cancelled check(s), or other competent evidence.

**PART 1 OF CLAIM FORM: CLAIMANT INFORMATION**

Claimant Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Cell Phone: \_\_\_\_\_ Home Phone: \_\_\_\_\_

Email Address: \_\_\_\_\_

**PART 2 OF CLAIM FORM: COPPER COIL INFORMATION**

(1) Estimated Date That Copper Coil was purchased (whether purchased separately or as part of a split system or packaged residential air handler, condensing unit, or HVAC unit): \_\_\_\_ / \_\_\_\_ / \_\_\_\_

(2) Estimated Date That Copper Coil was installed (whether purchased separately or as part of a split system or packaged residential air handler, condensing unit, or HVAC unit): \_\_\_\_ / \_\_\_\_ / \_\_\_\_

(3) What brand is/was the Copper Coil?  York  Fraser-Johnston  Luxaire  Coleman  Evcon  Guardian  Champion  Dayton

(4) Was the Copper Coil installed in a residential dwelling in the United States for your personal, family or household use?  Yes  No

(5) Was the Copper Coil installed in a light commercial building in the United States?  Yes  No

(6) If known, please provide the name of the company that installed your Copper Coil (separately or as part of a split system or packaged residential air handler, condensing unit, or HVAC unit):

Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

(7) Provide the address where your Copper Coil was or is installed (if different from your address provided in Part 1):

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

(8) What is the Model Number of your Copper Coil or of the split system or packaged residential air handler, condensing unit, or HVAC unit containing your Copper Coil: \_\_\_\_\_

(9) What is the Serial Number of your Copper Coil or of the split system or packaged residential air handler, condensing unit, or HVAC unit containing your Copper Coil: \_\_\_\_\_

(10) What is the name of your preferred or closest nearby authorized JCI dealer: \_\_\_\_\_

Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

**PART 3 OF CLAIM FORM: COPPER COIL FAILURE AND REPLACEMENT INFORMATION SO THAT THE SETTLEMENT ADMINISTRATOR CAN DETERMINE YOUR SETTLEMENT BENEFITS**

**A. Copper Coil Failures Occurring Before March 15, 2017**

(1) At any time between January 1, 2008 and March 15, 2017, did you experience a **first** failure of your Copper Coil while the Copper Coil was covered by its original limited five year warranty or extended ten year warranty, as a result of leaking or any other reason and as a result incur out-of-pocket expenses?  Yes  No

If yes: (a) identify the approximate date that the failure occurred: \_\_\_\_ / \_\_\_\_ / \_\_\_\_; (b) state whether the first failure resulted in your replacing the Copper Coil:  Yes  No; and (c) please describe the failure with as much detail as possible:

\_\_\_\_\_

(2) At any time between January 1, 2008 and March 15, 2017, did you experience a **second** failure of your Copper Coil while the Copper Coil was covered by its original limited five year warranty or extended ten year warranty, as a result of leaking or any other reason?  Yes  No

If yes, (a) identify the approximate date that the second failure occurred: \_\_\_\_ / \_\_\_\_ / \_\_\_\_; (b) state whether the second failure resulted in your replacing the Copper Coil:  Yes  No; and (c) describe the second failure with as much detail as possible:

\_\_\_\_\_

(3) If you experienced two or more failures of your Copper Coil prior to March 15, 2017, did the failures (either or all of them combined) cause you to pay for labor, refrigerant, or parts?  Yes  No

If yes, approximately how much did you pay out-of-pocket for labor, refrigerant, or parts, associated with the first replacement of your Copper Coil(s): \$ \_\_\_\_\_.

If yes, approximately how much did you pay out-of-pocket for labor, refrigerant, or parts, associated with the second replacement of your Copper Coil(s): \$ \_\_\_\_\_.

If yes, approximately how much did you pay out-of-pocket for labor, refrigerant, or parts, associated with the third replacement of your Copper Coil(s): \$ \_\_\_\_\_.

**(4) IN ORDER TO RECOVER UNDER THIS SETTLEMENT, YOU MUST PROVIDE THE SETTLEMENT ADMINISTRATOR WITH EVIDENCE THAT BETWEEN JANUARY 1, 2008 AND MARCH 15, 2017, YOU PURCHASED AN UNCOATED YORK, FRASER-JOHNSTON, LUXAIRE, COLEMAN, EVCON, GUARDIAN, CHAMPION, OR DAYTON BRAND COPPER EVAPORATOR COIL OR COPPER CONDENSER COIL, SEPARATELY OR AS PART OF A SPLIT SYSTEM OR PACKAGED RESIDENTIAL AIR HANDLER, CONDENSING UNIT, OR HVAC UNIT. YOU MAY DO SO BY SUBMITTING ANY COMPETENT EVIDENCE ESTABLISHING THE REQUIRED PROOF OF PURCHASE, INCLUDING, FOR EXAMPLE, AN INVOICE, RECEIPT, PHOTOGRAPH, OWNERS' MANUAL, OR REGISTRATION CARD.**

**(5) IN ORDER TO RECEIVE A \$75 SERVICE REBATE CERTIFICATE, WHICH IS APPLICABLE TO AUTHORIZED CLAIMANTS WHO, PRIOR TO MARCH 15, 2017 EXPERIENCED ONE FAILURE OF THEIR COPPER COIL, YOU MUST PROVIDE THE SETTLEMENT ADMINISTRATOR WITH EVIDENCE OF THE COPPER COIL FAILURE. YOU MAY DO SO BY SUBMITTING, FOR EXAMPLE, AN INVOICE(S), RECEIPT(S), PHOTOGRAPH(S), CORRESPONDENCE TO OR FROM JCI OR AN HVAC DEALER OR CONTRACTOR, WARRANTY CLAIM(S), OR ANY OTHER COMPETENT EVIDENCE OF THE FAILURE.**

**(6) IN ORDER TO RECEIVE CASH REIMBURSEMENT FOR LABOR, REFRIGERANT, OR PARTS INCURRED IN CONNECTION WITH THE REPLACEMENTS OF TWO OR MORE COPPER COILS, YOU MUST PROVIDE THE SETTLEMENT ADMINISTRATOR WITH (A) PROOF THAT THE COPPER COILS WERE REPLACED; AND (B) EVIDENCE OF THE AMOUNTS YOU PAID OUT-OF-POCKET TO REPLACE SUCH COILS. YOU MAY DO SO BY SUBMITTING, FOR EXAMPLE, INVOICE(S), RECEIPT(S), CANCELLED CHECK(S), CREDIT CARD STATEMENTS, OR ANY OTHER COMPETENT EVIDENCE OF YOUR OUT-OF-POCKET LOSSES.**

**YOU MUST ATTACH COPIES OF THESE DOCUMENTS TO THIS CLAIM FORM WHEN YOU RETURN IT TO THE SETTLEMENT ADMINISTRATOR.**

**B. Copper Coil Failures Occurring After March 15, 2017**

(1) After March 15, 2017, did you experience a **first** failure of your Copper Coil while the Copper Coil was covered by its original limited five year warranty or extended ten year warranty, as a result of leaking or any other reason?

Yes  No

If yes: (a) identify the approximate date that the failure occurred: \_\_\_\_ / \_\_\_\_ / \_\_\_\_; (b) state whether the first failure resulted in your replacing the Copper Coil:  Yes  No; and (c) please describe the failure with as much detail as possible:

\_\_\_\_\_  
\_\_\_\_\_

(2) After March 15, 2017, did you experience a **second** failure of your Copper Coil while the Copper Coil was covered by its original limited five year warranty or extended ten year warranty, as a result of leaking or any other reason (even if the first failure occurred before March 15, 2017)?  Yes  No

If yes, (a) identify the date that the second failure occurred: \_\_\_\_ / \_\_\_\_ / \_\_\_\_; (b) state whether the second failure resulted in your replacing the Copper Coil:  Yes  No; and (c) please describe the second failure with as much detail as possible: \_\_\_\_\_

\_\_\_\_\_

(3) If you experienced a second failure of your Copper Coil that occurred after March 15, 2017, did the failures (either or both of them) cause you to pay for labor, refrigerant, or parts?  Yes  No

If yes, approximately how much did you pay out-of-pocket for labor, refrigerant, or parts, associated with the first replacement of your Copper Coil(s): \$\_\_\_\_\_.

If yes, approximately how much did you pay out-of-pocket for labor, refrigerant, or parts, associated with the second replacement of your Copper Coil that occurred after March 15, 2017: \$\_\_\_\_\_.

**(4) IN ORDER TO RECOVER UNDER THIS SETTLEMENT, YOU MUST PROVIDE THE SETTLEMENT ADMINISTRATOR WITH EVIDENCE THAT BETWEEN JANUARY 1, 2008 AND MARCH 15, 2017, YOU PURCHASED AN UNCOATED YORK, FRASER-JOHNSTON, LUXAIRE, COLEMAN, EVCON, GUARDIAN, CHAMPION, OR DAYTON BRAND COPPER EVAPORATOR COIL OR COPPER CONDENSER COIL, SEPARATELY OR AS PART OF A SPLIT SYSTEM OR PACKAGED RESIDENTIAL AIR HANDLER, CONDENSING UNIT, OR HVAC UNIT. YOU MAY DO SO BY SUBMITTING ANY COMPETENT EVIDENCE ESTABLISHING THE REQUIRED PROOF OF PURCHASE, INCLUDING, FOR EXAMPLE, AN INVOICE, RECEIPT, PHOTOGRAPH, OWNERS' MANUAL, OR REGISTRATION CARD.**

**(5) IN ORDER TO RECEIVE A \$75 SERVICE REBATE CERTIFICATE, WHICH IS APPLICABLE TO AUTHORIZED CLAIMANTS WHO, AFTER MARCH 15, 2017 EXPERIENCED ONE FAILURE OF THEIR COPPER COIL, YOU MUST PROVIDE THE SETTLEMENT ADMINISTRATOR WITH EVIDENCE OF THE COPPER COIL FAILURE. YOU MAY DO SO BY SUBMITTING, FOR EXAMPLE, AN INVOICE(S), RECEIPT(S), PHOTOGRAPH(S), CORRESPONDENCE TO OR FROM JCI OR AN HVAC DEALER OR CONTRACTOR, WARRANTY CLAIM(S), OR ANY OTHER COMPETENT EVIDENCE OF THE FAILURE.**

**(6) IN ORDER TO RECEIVE CASH REIMBURSEMENT FOR LABOR, REFRIGERANT, OR PARTS INCURRED IN CONNECTION WITH THE REPLACEMENTS OF TWO OR MORE COPPER COILS, YOU MUST PROVIDE THE SETTLEMENT ADMINISTRATOR WITH (A) PROOF THAT THE COPPER COILS WERE REPLACED; AND (B) EVIDENCE OF THE AMOUNTS YOU PAID OUT-OF-POCKET TO REPLACE SUCH COILS. YOU MAY DO SO BY SUBMITTING, FOR EXAMPLE, INVOICE(S), RECEIPT(S), CANCELLED CHECK(S), CREDIT CARD STATEMENTS, OR ANY OTHER COMPETENT EVIDENCE OF YOUR OUT-OF-POCKET LOSSES.**

**YOU MUST ATTACH COPIES OF THESE DOCUMENTS TO THIS CLAIM FORM WHEN YOU RETURN IT TO THE SETTLEMENT ADMINISTRATOR.**

**PART 4: SIGNATURE**

I declare under penalty of perjury under the laws of the United States that all information I provided in this Claim Form is true to the best of my knowledge and belief and that all documents submitted in support of my claim are valid and authentic.

Signature: \_\_\_\_\_ Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_